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Application#	Patent#	PG Pub#	Status	Date Filed	Title	Examiner Name
10480350	Not Issued	20050160025	161	12/09/2003	CONTINGENT CONVERTIBLE FINANCIAL INSTRUMENTS	KARMIS,STEFANOS
10483343	Not Issued	20050055293	041	01/08/2004	METHODS AND SYSTEMS FOR OFFERING AND SERVICING FINANCIAL INSTRUMENTS	MAGUIRE,LINDSAY
10476705	7219079	20050080706	150	12/30/2003	CONVERTIBLE FINANCIAL INSTRUMENTS WITH CONTINGENT PAYMENTS	APPLE,KIRSTEN
60641259	Not Issued		159	01/04/2005	METHOD AND APPARATUS FOR ISSUING A UNIT	
10440831	Not Issued	20040039669	041	05/19/2003	METHODS FOR PERFORMING DATA PROCESSING OPERATIONS ASSOCIATED WITH SECURITIES AND SECURITY STRUCTURES	ONYEZIA,CHUKS
10449986	Not Issued	20040024676	041	05/30/2003	METHODS FOR PERFORMING DATA	ONYEZIA,CHUKS

					PROCESSING OPERATIONS ASSOCIATED WITH SECURITIES AND SECURITY STRUCTURES	
<u>60492558</u>	Not Issued		159	08/05/2003	SYSTEM AND METHOD FOR STOCK PURCHASE ADJUSTABLE- RETURN CONVERTIBLES	
<u>60493187</u>	Not Issued		159	08/07/2003	METHOD AND SYSTEM FOR THE REMARKETING OF TRUST PREFERRED AND SETTLEMENT OF STOCK PURCHASE CONTRACTS	
<u>60498997</u>	Not Issued		159	08/29/2003	METHOD AND SYSTEM RELATING TO AN OPTIONS ON DEBT TRANSACTION	
<u>11177166</u>	Not Issued		061	07/08/2005	METHODS, SYSTEMS AND SECURITIES RELATING TO FINANCIAL TRANSACTIONS	KHATTAR,RAJESH
<u>60706271</u>	Not Issued		159	08/08/2005	METHOD AND APPARATUS FOR CALCULATING A PARITY SHARE	
<u>10624986</u>	Not Issued		041	07/22/2003	METHODS FOR PERFORMING DATA	ONYEZIA,CHUKS

					PROCESSING OPERATIONS ASSOCIATED WITH SECURITIES AND SECURITY STRUCTURES	
<u>10707491</u>	Not Issued	20040133494	132	12/17/2003	METHOD AND APPARATUS FOR ISSUING A UNIT	CHENCINSKI,SIEGFR
<u>10676056</u>	Not Issued	20050004854	071	09/30/2003	METHOD AND SYSTEM FOR ANALYZING A CAPITAL STRUCTURE FOR A COMPANY	MERCHANT,SHAHID
<u>10676297</u>	Not Issued	20040177016	061	09/30/2003	METHOD AND SYSTEM FOR ANALYZING A CAPITAL STRUCTURE FOR A COMPANY	ALI,HATEM
<u>10677838</u>	Not Issued		041	10/02/2003	METHODS, SYSTEMS AND SECURITIES FOR ASSURING A COMPANY AN OPPORTUNITY TO SELL STOCK AFTER A SPECIFIED TIME	SHAIKH,MOHAMMAI
<u>10680010</u>	Not Issued		041	10/07/2003	METHODS, SYSTEMS AND SECURITIES FOR ASSURING A COMPANY AN OPPORTUNITY TO SELL STOCK AFTER A SPECIFIED TIME	CAMPEN,KELLY
<u>10766315</u>	Not	20050033674	041	01/27/2004	METHOD AND	VEZERIS,JAMES

	Issued				APPARATUS FOR CONDUCTING A TRANSACTION	
<u>11324752</u>	Not Issued		041	01/03/2006	METHOD AND APPARATUS FOR ISSUING A UNIT	TRAN,HAI
<u>60836019</u>	Not Issued		159	08/07/2006	PARITY SHARE	
<u>60311574</u>	Not Issued		159	08/10/2001	METHODS AND SYSTEMS FOR OFFERING AND SERVICING FINANCIAL INSTRUMENTS	
<u>10064744</u>	Not Issued	20030135446	161	08/12/2002	CONTINGENT CONVERTIBLE FINANCIAL INSTRUMENTS	KARMIS,STEFANOS
<u>10064745</u>	Not Issued	20030130941	132	08/12/2002	CONVERTIBLE FINANCIAL INSTRUMENTS WITH CONTINGENT PAYMENTS	APPLE,KIRSTEN
<u>10217875</u>	Not Issued	20030135436	041	08/12/2002	METHODS AND SYSTEMS FOR OFFERING AND SERVICING FINANCIAL INSTRUMENTS	MAGUIRE,LINDSAY
<u>10218124</u>	Not Issued	20040006520	161	08/12/2002	METHODS AND SYSTEMS FOR OFFERING AND SERVICING FINANCIAL INSTRUMENTS	CAMPEN,KELLY
<u>10838842</u>	Not Issued	20050021435	041	05/04/2004	METHOD AND SYSTEM FOR VALUING AN EQUITY- RELATED INSTRUMENT	CRANFORD,MICHAEL
<u>60586346</u>	Not Issued		159	07/08/2004	METHOD AND APPARATUS	

					FOR CONDUCTING A TRANSACTION	
<u>60586822</u>	Not Issued		159	07/08/2004	METHODS, SYSTEMS AND SECURITIES RELATING TO FINANCIAL TRANSACTIONS	

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